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Section	Page
Section: Informal Caregiving	1
Section: Retirement and Pension Plan Coverage	10
Section: Annual Income and Retirement Accounts	32
Section: Taxes	40

Mark One Only HH01A

There are situations in which people provide regular unpaid care or assistance to a family member or friend who has a long-term illness or a disability.

During the past month, did [fill TEMPNAME] provide any such care or assistance to a family member or friend living here or living elsewhere?

INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY OF THE RECIPIENT.

(1) Yes

(2) No

@

Mark One Only HH02

Η

Did [fill TEMPNAME] provide such care or assistance to someone living here in the past month?

- (1) Yes
- (2) No

@

Enter Number HH03

During the past month, for how many persons living here did [fill TEMPNAME] provide care or assistance?

@ Number

Multiple Entry HH04

```
[if HH03 ge <3> or HH03 eq <D> or HH03 eq <R>]
    For which person(s) in this household did [fill TEMPNAME] provide
    reqular unpaid care or assistance? (Please list only the two
    persons for whom [fill TEMPNAME] provided the most assistance,
    or care in the past month.)
[else]
[if HH03 eq <1> or HH03 eq <2>]
    For which person(s) in this household did [fill TEMPNAME]
    provide reqular unpaid care or assistance?
        [endif]
        [endif]
        [endif]

IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.
```

Section: Informal Caregiving

HH05A Mark One Only What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]? (1) Spouse (2) Partner Child (3)Grandchild (4)(5) Parent (6) Brother/sister (7) Other relative (8) Nonrelative @ HH06A **Enter Number** For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill FAMILYNAM]? ENTER "0" IF LESS THAN 1 YEAR. @ Years HH07A Multiple Entry Now think about last month, what kind of care or assistance did [fill TEMPNAME] give to [fill FAMILYNAM]? Did [fill HESHE]: (1) Yes (2) No Help him/her dress, eat, bathe, or get to the bathroom? @1 Help with medical needs such as taking medicines or changing bandages? @2 Help him/her keep track of bills, checks, c. or other financial matters? @3 Help by taking him/her shopping d. or to the doctor's office? @4 Help in any other way? Specify @5 **HH07A1 Enter Text** Please specify "OTHER" care or assistance provided. HH08A **Enter Number** On average, how many hours a week did [fill TEMPNAME] usually spend providing care or assistance for [fill FAMILYNAM] in the past month? @ Hours HH09A Mark One Only Did [fill FAMILYNAM] receive similar unpaid care or assistance from anyone other than you in the past month? (1) Yes (2) No

Items Booklet Survey: Section: Informal Caregiving

> HH₁₀A **Enter Number**

Think about the unpaid care and assistance provided by other person(s) in the past month, on average, how many hours per week did [fill FAMILYNAM] usually receive care or assistance?

@ Hours

HH12A Mark One Only

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. ${\tt Did} \ [{\tt fill} \ {\tt FAMILYNAM}] \ {\tt receive} \ {\tt professional} \ {\tt home} \ {\tt health} \ {\tt services}$ in the past month?

- Yes (1) Yes (2) No

HH12A1 Enter Number

In terms of professional care or assistance from home health care services, how many hours per week did [fill FAMILYNAM] usually receive in the past month?

@ Hours

HH05B Mark One Only

What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]?

- (1) Spouse
- (2) Partner
- Child (3)
- Grandchild (4)
- (5) Parent
- Brother/sister (6)
- (7)Other relative
- Nonrelative (8)

@

HH06B **Enter Number**

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill FAMILYNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

@ Years

Section: Informal Caregiving

Multiple Entry HH07B

Now think about last month, what kind of care or assistance did

Now think about last month, what kind of care or assistance did [fill TEMPNAME] give to [fill FAMILYNAM]?
Did [fill HESHE]:

- (1) Yes (2) No
- a. Help him/her dress, eat, bathe, or get to the

bathroom? @1

- b. Help with medical needs such as taking medicines or changing bandages?
- c. Help him/her keep track of bills, checks, or other financial matters?
- d. Help by taking him/her shopping or to the doctor's office?
- e. Help in any other way? Specify

Enter Text HH07B1

@2

@3

@4

@5

Please specify "OTHER" care or assistance provided.

a

Enter Number HH08B

On average, how many hours a week did [fill TEMPNAME] usually spend providing care or assistance for [fill FAMILYNAM] in the past month?

@ Hours

Mark One Only HH09B

Did [fill FAMILYNAM] receive similar unpaid care or assistance from anyone other than you in the past month?

- (1) Yes
- (2) No

@

Enter Number HH10B

Think about the unpaid care and assistance provided by other person(s) in the past month, on average, how many hours per week did [fill FAMILYNAM] usually receive care or assistance?

@ Hours

Mark One Only HH12B

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill FAMILYNAM] receive professional home health care services in the past month?

- (1) Yes
- (2) No

Items Booklet Survey: Section: Informal Caregiving

> **HH12B1 Enter Number**

In terms of professional care or assistance from home health care services, how many hours per week did [fill FAMILYNAM] usually receive in the past month?

@ Hours

HH13 Mark One Only

During the past month, did [fill TEMPNAME] provide any unpaid care or assistance to any persons who lived outside of [fill PTEMPNAME] home?

INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY OF THE RECIPIENT.

Н

- (1) Yes
- (2) No

@

HH14 Enter Number

For how many persons living outside of [fill PTEMPNAME] home did [fill TEMPNAME] provide care or assistance in the past month?

HH15 Multiple Entry

[if HH14 ge <3> or HH14 eq <D> or HH14 eq <R>] What [fill WASWERE] the name(s) of the person(s) outside [fill PTEMPNAME] home for whom you provided care or assistance? (Please list only the two persons for whom [fill TEMPNAME] provided the most assistance in the past month). [else] [if HH14 eq <1> or HH14 eq <2>]
 What [fill WASWERE] the name(s) of the person(s) outside

[fill PTEMPNAME] home for whom you provided care or assistance?

IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.

1st Person's Name @1 2nd Person's Name @2

> HH16A Mark One Only

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

- (1) Spouse
- (2) Partner
- Child (3) Grandchild (4)
- (5)Parent
- (6) Brother/sister
- Other relative Nonrelative (7)
- (8)

Section: Informal Caregiving

HH17A **Enter Number**

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill OUTSIDNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

@ Years

HH18A Mark One Only

In what type of residence did [fill OUTSIDNAM] live in the past month? Was it in an ordinary residence, such as a house or apartment, or was it some other type of care facility?

- (1) House or apartment(2) Care facility
- (3) Other, specify

HH18A1 Enter Text

Please specify "OTHER" type of residence.

HH19A Multiple Entry

@1

@2

@4

What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM]? Did [fill HESHE]:

(1) Yes (2) No

- Help him/her dress, eat, bathe, or
- get to the bathroom?
- Help with medical needs such as taking medicines or changing bandages?
- Help him/her keep track of bills, checks, c. or other financial matters? @3
- Help by taking him/her shopping or to
 - the doctor's office?
- Help in any other way? Specify @5

HH19A1 Enter Text

Please specify "OTHER" type of assistance.

@

HH₂0A **Enter Number**

On average, how many hours a week did [fill TEMPNAME] usually spend providing care or assistance for [fill OUTSIDNAM]?

@ Hours

HH21A Mark One Only

During the past month, did [fill OUTSIDNAM] receive similar unpaid care or assistance from any other persons?

- (1) Yes
- (2) No

Items Booklet Survey: Section: Informal Caregiving

Enter Number HH21A1

Think about the last month, how many hours per week of unpaid care or assistance did [fill OUTSIDNAM] usually receive from that person?

@ Hours

Mark One Only HH22A

During the past month, did [fill TEMPNAME] regularly spend time with [fill OUTSIDNAM] in order to provide companionship and emotional support because of his/her long-term illness or disability?

- (1) Yes
- (2) No

@

Mark One Only HH24A

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill OUTSIDNAM] receive professional health care or assistance during the past month?

- (1) Yes
- (2) No

@

Enter Number HH24A1

In terms of professional care and assistance from home health care services, how many hours per week did [fill OUTSIDNAM] usually receive in the past month?

@ Hours

Mark One Only

HH16B

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

- (1) Spouse
- (2) Partner
- (3) Child(4) Grandchild
- (4) Grandch
 (5) Parent
- (6) Brother/sister
- (7) Other relative
- (8) Nonrelative

@

Multiple Entry HH17B

For how long [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill OUTSIDNAM]?

@2 Years

Mark One Only HH18B

In what type of residence did [fill OUTSIDNAM] live in the past month? Was it in an ordinary residence, such as a house or apartment, or was it some other type of care facility?

- (1) House or apartment
- (2) Care facility
- (3) Other, specify

Section: Informal Caregiving

HH18B1 Enter Text Please specify "OTHER" type of residence. HH19B Multiple Entry What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM][fill HESHE]: (1) Yes (2) No Help him/her dress, eat, bathe, or get to the bathroom? @1 Help with medical needs such as taking medicines or changing bandages? @2 Help him/her keep track of bills, checks, or other financial matters? @3 Help by taking him/her shopping or to d. the doctor's office? @4 Help in any other way? Specify @5 **HH19B1** Enter Text Please specify "OTHER" type of assistance. HH20B **Enter Number** On average, how many hours a week did [fill TEMPNAME] usually spend providing care or assistance for [fill OUTSIDNAM]? @ Hours **HH21B** Mark One Only During the past month, did [fill OUTSIDNAM] receive similar unpaid care or assistance from any other persons? (1) Yes (2) No @ **HH21B1 Enter Number** Think about the last month, how many hours per week of unpaid care or assistance did [fill OUTSIDNAM] usually receive from that person(s)? @ Hours Mark One Only HH22B During the past month, did [fill TEMPNAME] regularly spend time with [fill OUTSIDNAM] in order to provide companionship and emotional support because of this illness or disability? (1) Yes (2) No @

Items Booklet Survey: Section: Informal Caregiving

Mark One Only HH24B

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill OUTSIDNAM] receive professional health care or assistance during the past month?

- (1) Yes
- (2) No

@

Enter Number HH24B1

In terms of professional care and assistance from home health care services, how many hours per week did [fill OUTSIDNAM] usually receive in the past month?

@ Hours

Section: Retirement and Pension Plan Coverage

Mark One Only PR1_PR090

Was [fill HISHER] primary source of work related income during the last 4 months from [fill HISHER] job or from [fill HISHER] business?

- (1) Job
- (2) Business

@

Mark One Only PR3_PR110

I just need to verify some information. Thinking about the location where [fill HESHE] [fill TEMP1], about how many people are employed there by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only PR4_PR120

About how many people are employed by [fill JBNAME] at all locations?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

Mark One Only

PR4A_PR121

I just need to verify some information. About how many people are employed by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249 (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Enter Number PR5_PR130

How many weeks during the year [fill DODOES] [fill HESHE] usually work at [fill JBNAME]? Include paid vacation and sick leave as work time.

@ Weeks

@

Multiple Entry

PR6_PR140

How long [fill HAVHAS] [fill HESHE] been working for [fill JBNAME]?

@1 Number

ENTER "1" FOR MONTHS OR "2" FOR YEARS.

(1) Months (2) Years

@2

Mark One Only
sk about retirement plans offered on this job,

Now I'd like to ask about retirement plans offered on this job, not Social Security, but plans that are sponsored by [fill HISHER] [fill JOBUSA]. This includes regular pension plans as well as other kinds of retirement plans like thrift and savings plans, 401(k) or 403(b) plans, and deferred profit-sharing and stock plans.

Does [fill HISHER] [fill JOBUSA] have any kind of pension or retirement plans for anyone in [fill HISHER] company or organization?

(1) Yes
(2) No

Mark One Only PR8_PR160

```
[fill C_AREIS] [fill HESHE] included in such a plan?

(1) Yes
(2) No
```

Multiple Entry PR9_PR170

```
Why [fill AREIS] [fill HESHE] not included?
    ENTER ALL THAT APPLY.
    ENTER "N" AFTER LAST ENTRY.
[fill PR9_1:b](01) No one in my type of job
                                                   [fill PR9_8:b](08) Employer doesn't contribute,
                    is allowed in the plan
                                                                       or contribute enough
                                                   [fill PR9_9:b](09) Don't plan to be in job long enough
[fill PR9_2:b](02) Don't work enough hours,
                    weeks or months per year
                                                   [fill PR9_10:b](10) Don't need it
[fill PR9_3:b](03) Haven't worked long enough
                                                   [fill PR9_11:b](11) Have an IRA or other pension plan
                    for this employer
                                                                        coverage
                                                   [fill PR9_12:b](12) Spouse has pension plan [fill PR9_13:b](13) Haven't thought about it
[fill PR9_4:b](04) Started job too close to
                    retirement date
[fill PR9_5:b](05) Too young
                                                   [fill PR9_14:b](14) Some other reason
[fill PR9_6:b](06) Can't afford to contribute
[fill PR9_7:b](07) Don't want to tie up money
```

Enter Text PR9_ERR

```
"Don't Know and/or Refused" response not permitted with other answers Enter (B) to backup
```

Section: Retirement and Pension Plan Coverage

Mark One Only PR10 PR180

Is the plan something like a 401(k) plan, where workers contribute to the plan and their contributions are tax deferred?

- (1) Yes
- (2) No @

Enter Number

PR11 PR190

Some workers participate in more than one retirement plan. For example, they might have a regular pension plan and also have some kind of retirement savings plan.

How many different pension or retirement plans [fill DODOES] [fill HESHE] have on this [fill JOBUS]?

@ Number of plans

Mark One Only PR12 PR200

[if PR11_PR190 gt <1> or PR11_PR190 eq <D> or PR11_PR190 eq <R>]
 The following question is about the plan [fill HESHE] would consider
 to be [fill HISHER] most important retirement plan on this job.[endif]
 There are several types of retirement plans.

In the first type, [fill HISHER] benefit is defined by a formula usually involving [fill HISHER] earnings and years on the job.

In the second type of plan, contributions made by [fill HIMHER] and/or [fill HISHER] employer go into an individual account for [fill HIMHER].

The third type of plan shares some characteristics with the above two plans. In this type of plan, [fill HISHER] employer contributes a value equal to a percent of each of [fill HISHER] earnings each year and there is a rate of return on that contribution. This type of plan is sometimes called a cash balance plan. SHOW FLASHCARD Z

Which type of plan [fill AREIS] [fill HESHE] in?

Η

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

() @

Mark One Only PR13_PR210

What is [fill HISHER] second most important plan on this job? SHOW FLASHCARD $\ensuremath{\mathbf{Z}}$

Η

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

@

Mark One Only PR14 PR220

The following series of questions refer to [fill HISHER] [fill IMPORTANT] plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

Mark One Only

PR14A_PR220A

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1]
is tax-deferred. Are [fill HISHER] contributions to this plan
tax-deferred?

(1) Yes
(2) No

Mark One Only

PR14B_PR220B

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now
or within the next few months, could [fill HESHE] eventually receive
some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

(1) Yes
(2) No

@

Mark One Only

PR14C_PR220C

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number PR15_PR230

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only PR16 PR231

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

- (1) Yes
- (2) No
- (3) Do not participate in Social Security

@

Enter Number PR17_PR232

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?

\$@

Enter Number PR18 PR233

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Section: Retirement and Pension Plan Coverage

Mark One Only

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

(1) Less than \$5,000
(2) \$5,000 to \$10,000
(3) \$10,001 to \$25,000
(4) \$25,001 to \$50,000
(5) \$50,001 to \$75,000
(6) \$75,001 or more

●

PR19_PR234

Mark One Only PR20_PR240

The following series of questions refer to [fill HISHER] second most important pension plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only PR20A_PR240A

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only PR20B PR240B

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only PR20C_PR240C

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number PR21_PR250

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

PR22 PR251 Mark One Only Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program? (1) Yes (2) No (3) Do not participate in Social Security @ PR23_PR252 **Enter Number** How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year? \$@ PR24 PR253 **Enter Number** As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account? Mark One Only PR25 PR254 What is [fill HISHER] best estimate of the amount in [fill HISHER] account? READ ALL CATEGORIES: (1) Less than \$5,000 (2) \$5,000 to \$10,000 (3) \$10,001 to \$25,000 (4) \$25,001 to \$50,000

Mark One Only PR26_PR260

I'd like to make sure about a particular type of retirement plan that allows workers to make tax deferred contributions. For example, [fill HESHE] might choose to have [fill HISHER] employer put part of [fill HISHER] salary into a retirement savings account and [fill HESHE] [fill DODOES] not have to pay taxes on this money until [fill HESHE] [fill TEMP1]. These plans are called by different names, including 401(k) plans, pre-tax plans, salary reduction plans and 403(b) plans.

Does [fill HISHER] [fill JOBUSB] offer a plan like this to anyone in [fill HISHER] company or organization?

(1) Yes
(2) No

Mark One Only PR27 PR270

```
[fill C_AREIS] [fill HESHE] participating in this plan?
(1) Yes
```

(5) \$50,001 to \$75,000 (6) \$75,001 or more

a

(2) No

```
PR28 PR280
                      Multiple Entry
    Why [fill AREIS] [fill HESHE] not included?
    ENTER ALL THAT APPLY
   ENTER "N" AFTER LAST ENTRY.
[fill PR28_1:b](01) No one in my type of job
                                                  [fill PR28_8:b](08) Employer doesn't contribute,
                    is allowed in the plan
                                                                      or contribute enough
[fill PR28_2:b](02) Don't work enough hours,
                                                  [fill PR28_9:b](09) Don't plan to be in job long
enough
                    weeks or months per year
                                                  [fill PR28_10:b](10) Don't need it
[fill PR28_3:b](03) Haven't worked long enough
                                                  [fill PR28_11:b](11) Have an IRA or other pension
plan
                    for this employer
                                                                       coverage
                                                  [fill PR28_12:b](12) Spouse has pension plan
[fill PR28_4:b](04) Started job too close to
                                                  [fill PR28_13:b](13) Haven't thought about it
                    retirement date
[fill PR28_5:b](05) Too young
                                                  [fill PR28_14:b](14) Some other reason
[fill PR28_6:b](06) Can't afford to contribute
[fill PR28_7:b](07) Don't want to tie up money
         @1
                                                                                           PR28_ERR
                      Enter Text
```

"Don't Know and/or Refused" response not permitted with other answers Enter (B) to backup $_{@}$

Mark One Only PR28A PR281

Does [fill HISHER] employer provide a matching contribution, or contribute to the plan in any other way?

(1) Yes

(2) No

@

@

Mark One Only PR29 PR290

[fill C_DODOES] [fill HESHE] expect to start participating in this plan within
the next few years?

(1) Yes
(2) No

Multiple Entry PR30 PR300

Referring to [fill HISHER] most important plan, [endif]
How much [fill DODOES] [fill HESHE] contribute toward this plan?

[if $PR14A_PR220A$ eq <1> and $PR20A_PR240A$ eq <1>]

ENTER (N) IF RESPONDENT MAKES NO CONTRIBUTIONS.

\$ @1

Per: (1) Week

- (2) Biweekly
- (3) Month
- (4) Ouarter
- (5) Year

@2

OR

@3 Percent of Salary

Mark One Only	PR31_	PR310
Does [fill HISHER] [fill JOBUSB] make contributions into this plan?		
(1) Yes (2) No @		
Mark One Only	PR32	PR320
Does the amount that [fill HISHER] [fill JOBUSB] contributes to the plan depend entirely, partly, or not at all on the amount [fill HESHE] [fill TEMP1]?		_
(1) Depends entirely(2) Depends partly(3) Not at all		
Multiple Entry	PR33	PR330
How much does [fill HISHER] [fill JOBUSB] actually contribute to the plan?		_
<pre>\$ @1 Per: (1) Week</pre>		
OR		
@2 Percent of Salary		
OR		
(6) Contributions out of profits(7) Contribution varies		
Mark One Only	PR34_	PR340
[fill C_AREIS] [fill HESHE] able to choose how any of the money in the plan is invested?		
(1) Yes (2) No		
Mark One Only	PR35	PR350
[fill C_AREIS] [fill HESHE] able to choose how all of the money is invested, or just part of it?		
(1) All of the money (2) Part of the money		

Section: Retirement and Pension Plan Coverage

Multiple Entry

How are the current contributions to this account being invested?

READ ALL CATEGORIES. ENTER ALL THAT APPLY.
ENTER "N" AFTER LAST ENTRY

[fill PR36_1:b](1) Company stock of [fill HISHER] employer
[fill PR36_2:b](2) Stock funds
[fill PR36_3:b](3) Corporate bonds or bond funds
[fill PR36_4:b](4) Long term interest bearing securities
[fill PR36_5:b](5) Diversified stock and bond funds
[fill PR36_6:b](6) Government securities
[fill PR36_7:b](7) Money market funds
[fill PR36_7:b](8) Other investments

Enter Text PR36_ERR

"Don't Know and/or Refused" response not permitted with other answers Enter (B) to backup $\hfill \Box$

Mark One Only PR37 PR370

Of the types of investments just mentioned, which type is where the largest share of current contributions are being invested?

- (1) Employer company stock
- (2) Stock funds
- (3) Corporate bonds or bond funds
- (4) Long term interest bearing securities
- (5) Diversified stock and bond funds
- (6) Government securities
- (7) Money market funds
- (8) Other investments
- (9) Evenly split between types reported

@

@1

Enter Number PR38 PR380

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only PR39_PR390

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more @

Mark One Only PR40 PR391

[fill C_HAVHAS] [fill HESHE] ever taken out any money from [fill HISHER] plan in the form of a loan?

- (1) Yes
- (2) No
- @

PR41 PR392 Mark One Only Does [fill HISHER] plan permit [fill HIMHER] to take out a loan? (1) Yes (2) No @ PR42_PR393 **Enter Number**

What is the current outstanding balance due from that loan?

\$@

PR43 PR394 Mark One Only

What is [fill HISHER] best estimate of the amount of the loan?

READ ALL CATEGORIES.

- (1) Less than \$2,500
- (2) \$2,500 to \$5,000
- (3) \$5,001 to \$10,000
- (4) \$10,001 to \$25,000
- (5) \$25,001 to \$50,000 (6) \$50,001 or more

@

PR44 PR400 Mark One Only

[fill C_AREIS] [fill HESHE] participating in any pension or retirement plans offered on any other jobs or businesses [fill HESHE] currently [fill HAVHAS]?

- (1) Yes
- (2) No

@

PR45_PR410 Mark One Only

[if RECNT5 lt <1>] The next questions are about pension or retirement plans offered by employers or unions. This includes regular pension plans as well as other kinds of retirement plans, like thrift and savings plans, 401(K) or 403(b) plans and deferred profit-sharing and stockplans. Excluding Social Security [else] Other than Social Security or the plans we have already talked about [endif] [fill HAVHAS] [fill HESHE] ever been covered by a pension or retirement plan on any previous jobs or businesses?

- (1) Yes
- (2) No

@

PR46 PR420 Mark One Only

Are there any previous plans from which [fill HESHE] [fill HAVHAS] not yet received any benefits, but expect to receive them in the future?

- (1) Yes
- (2) No

Section: Retirement and Pension Plan Coverage

Enter Number PR47 PR430

How many years did [fill HESHE] work on the job from which [fill HESHE] [fill TEMP1] to receive this pension?

@ Years

Enter Number PR47A_PR431

In what year did [fill HESHE] leave that job?

@ Years

Mark One Only PR48_PR440

Will the amount of [fill HISHER] retirement benefits from that plan be determined by a formula such as one based on [fill HISHER] earnings and years of service or will [fill HISHER] benefits be based on the total amount of money held in an individual account for [fill HIMHER]?

- (1) Based on a formula
- (2) Based on the amount of money in account

@

Enter Number PR49 PR450

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only PR50_PR460

What is [fill HISHER] best estimate of the amount of money in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only PR51_PR461

Could [fill HESHE] withdraw this money now, or will [fill HESHE] have to wait until retirement age to get the money?

- (1) Could withdraw money now
- (2) Must wait until retirement

@

Mark One Only PR52_PR470

[fill C_HAVHAS] [fill HESHE] ever received a lump-sum payment from a pension or retirement plan from a previous job, including any lump sums that may have been directly rolled over to another plan or to an IRA?

- (1) Yes
- (2) No

PR52A_PR471 Mark One Only Why did [fill HESHE] leave that job? (1) Laid off (2) Retired or old age (3) Child care problems(4) Other family obligations (5) Own illness (6) Own injury School/training (7) (8) Discharged/fired (9) Employer bankrupt (10) Employer sold business (11) Job temporary and ended (12) Quit to take another job (13) Slack work/business conditions(14) Unsatisfactory work arrangements

Mark One Only PR53 PR480

[fill C_HAVHAS] [fill HESHE] ever received survivor benefits in the form of a lump-sum payment from someone else's pension or retirement plan?

(1) Yes

(2) No

@

Enter Number PR54_PR490

Over the years, how many of these lump sum distributions, including rollovers, [fill HAVHAS] [fill HESHE] received?

@ Number

Enter Number PR55_PR500

[if PR54_PR490 gt <1> or PR54_PR490 eq <R> or PR54_PR490 eq <D>]
Please answer the following questions about [fill HISHER] most recent lump
sum or rollover.
[endif]
In what year did [fill HESHE] receive this lump sum or rollover?

@ Year

Mark One Only PR56_PR510

Did [fill HESHE] also receive any lump sum payments in 2002?

- (1) Yes
- (2) No
- @

Items Booklet Survey:

Section: Retirement and Pension Plan Coverage

PR57 PR520 Mark One Only [if PR56_PR510 eq <1>] Was the lump sum [fill HESHE] received in 2002 [if PR56_PR510 eq <2>] Was the lump sum [fill HESHE] received in 2003 [else] Was the lump sum [endif] [endif] from a private employer or union plan, from the military, from other Federal employee plans, or from a State or Local government plan? (1) Private employer or union plan (2) Military plan (3) Other federal plans (4) State or local government (5) Other @ PR58 PR521 Mark One Only Did [fill HESHE] withdraw the money voluntarily, or did the plan require [fill HIMHER] to withdraw it? (1) Voluntarily (2) Required to withdraw @ PR59_PR530 **Enter Number**

What was the total amount of the lump sum or rollover?

PR60_PR540 Mark One Only

What is [fill HISHER] best estimate of the lump sum or rollover amount? READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000 (6) \$75,001 or more
- @

PR61_PR550 Mark One Only

Did [fill HESHE] actually receive the money, or was it directly rolled over into another plan or to an IRA?

- (1) Actually received
- (2) Directly rolled over

@

PR62 PR560 Mark One Only

After receiving the lump sum payment, did [fill HESHE] then roll any of the money over into another retirement plan or into an IRA?

- (1) Yes
- (2) No
- @

Mark One Only PR63 PR570

Did [fill HESHE] roll it over into another plan on [fill HISHER] job, an individual annuity, an IRA, or some other type of plan?

- (1) Plan on job
- (2) Individual annuity
- (3) IRA
- (4) Other

@

@

Mark One Only PR64 PR571

Did [fill HESHE] roll over the entire amount or just part of it?

(1) Entire amount
(2) Partial amount

Multiple Entry PR65_PR580

```
People who receive lump sums may spend or invest the money in many
    different ways. How did [fill HESHE] use the money from the lump sum [fill
    HESHE] received?
        ENTER ALL THAT APPLY.
                                     ENTER "N" AFTER LAST ENTRY.
                                                     [fill PR65_8:b](08) Bought a car, boat, furniture,
[fill PR65_1:b](01) Invested in an IRA, annuity,
                    or other retirement program
                                                                         or other consumer items
[fill PR65_2:b](02) Put it into a savings account
                    or CDs
                                                     [fill PR65_9:b](09) Vacation, travel, or
recreation
[fill PR65_3:b](03) Invested in other financial
                                                     [fill PR65_10:b](10) Paid expenses while laid off
                    instruments (stocks, mutual
                                                     [fill PR65_11:b](11) Moving or relocation expenses
                    funds, bonds, money market funds)[fill PR65_12:b](12) Medical or dental expenses
                                                     [fill PR65_13:b](13) Paid or saved for education
[fill PR65_4:b](04) Invested in land, other real
                   properties
                                                     [fill PR65_14:b](14) General or everyday expenses
[fill PR65_5:b](05) Invested in own or family
                                                     [fill PR65_15:b](15) Gave to family members or
                    business or farm
                                                                          charities
[fill PR65_6:b](06) Used for housing (purchase,
                                                     [fill PR65_16:b](16) Paid taxes
                                                     [fill PR65_17:b](17) Saved for retirement expenses
                    paid off mortgage, home
                                                     [fill PR65_18:b](18) Saved or invested in other
                    improvements/repairs
[fill PR65_7:b](07) Paid bills, loans, or other debts[fill PR65_19:b](19) Spent in other ways
         @1
```

Enter Text PR65 ERR

"Don't Know and/or Refused" response not permitted with other answers Enter (B) to backup

Multiple Entry PR66_PR600

Earlier [fill HESHE] said [fill HESHE] received some pension or retirement income other than Social Security during the period from [fill MONTH1] through [fill MONTH4]. Will [fill HESHE] continue to receive these benefits for the rest of [fill HISHER] life, or will it be just a limited number of payments, or was it just a single lump sum payment?

ENTER ALL THAT APPLY.
ENTER "N" AFTER LAST ENTRY.

[fill PR66_1:b](1) Rest of life [fill PR66_2:b](2) Limited number of payments

Enter Text PR66 ERR

"Don't Know and/or Refused" response not permitted with other answers Enter (B) to backup $_{@}$

[fill PR66_3:b](3) Lump-sum payment

Items Booklet Survey:

Section: Retirement and Pension Plan Coverage

PR67 PR610 Mark One Only Did [fill HESHE] receive this income from more than one pension plan? (1) Yes (2) No @

> PR68_PR620 **Enter Number**

How many different plans did [fill HESHE] receive this income from?

@

PR69 PR640 Mark One Only

[if PR67_PR610 eq <1> and PR66_A(<1>) eq <X>] The following questions refer to the pension or retirement plan that pays the largest amount of lifetime benefits. [else] [if PR66 A(<2>) eg <X>] The following questions refer to the benefits [fill HESHE] [fill AREIS] receiving in a limited number of payments. [else] [if $PR66_A(<3>)$ eq <X>] The following questions refer to the benefits [fill \mathtt{HESHE}] received as a lump-sum payment. [endif] [endif] [endif] Does this pension benefit come from a job or business that [fill HESHE] held in the past, or does it come from a job or business held by [fill HISHER] former spouse? (1) Respondent's job

- (2) Respondent's former spouse's job
- (3) Other

ത

PR70_PR650 **Enter Number**

In what year did [fill HESHE] begin receiving this pension?

@ Year

PR71 PR660 Mark One Only

Was the amount of this pension payment based on years of service and pay, or on the amount of money held in an individual account for [fill HIMHER]?

- (1) Years of service and pay
- (2) Amount in individual account

@

PR72_PR670 Mark One Only

Were reduced benefits taken in order to elect a survivor's option?

- (1) Yes
- (2) No
- (3) No survivor's option offered

PR73_PR680 Mark One Only

Has the amount of [fill HISHER] pension ever increased for any reason?

- (1) Yes
- (2) No
- @

PR74_PR690 Mark One Only Does [fill HISHER] pension plan provide for automatic cost-of-living adjustments known as COLA's? (1) Yes (2) No @ PR75_PR700 Mark One Only Did the amount of [fill HISHER] pension payment ever decrease for any reason? (1) Yes (2) No @ PR76_PR710 **Enter Number** How much did [fill HESHE] receive from this plan each month when [fill HESHE] first began receiving the pension payment? \$@ PR77_PR720 **Enter Number** How much [fill DODOES] [fill HESHE] currently receive EACH MONTH from this plan? \$@ PR78 PR730 Mark One Only Now I have some questions about [fill HISHER] most recent lump sum payment. Did this payment come from a job or business [fill HESHE] held in the past, or did it come from a job or business held by [fill HISHER] former spouse? (1) Respondent's former job (2) Respondent's former spouse's job (3) Other @ PR79 PR740 Mark One Only [fill C_HAVHAS] [fill HESHE] ever retired from a job or business? (1) Yes (2) No @ PR80_PR750 Mark One Only [fill C_HAVHAS] [fill HESHE] ever worked for pay as much as five years or more? (1) Yes (2) No @ PR81_PR751 Mark One Only [if PR79_PR740 eq <1>] Did [fill HESHE] retire from a job or from a business? [else] [if PR80_PR750 eq <1>] Was [fill HISHER] longest employment on a job or in a business? [else] Did this pension benefit come from a job or from a business? [endif] [endif] (1) Job (2) Business @

Section: Retirement and Pension Plan Coverage

Mark One Only PR82 PR760

[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the job from which [fill HESHE] received this
pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the job from which [fill HESHE] received this
most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the job from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]

The next questions are about the job on which [fill ${\tt HESHE}$] worked the longest.

[endif] [endif] [endif]

What type of organization was that?

- (1) A Government organization (including Armed Forces)
- (2) A Private for profit Company
- (3) A non-profit organization including tax-exempt and charitable organizations
- (4) A family business or farm?

Mark One Only PR83_PR770

Was that Federal Government, State Government, Local Government, or active duty Armed Forces?

- (1) Federal Government (civilian)
- (2) State Government
- (3) Local Government (county, city, township)
- (4) Active duty Armed Forces @

Enter Text PR84 PR780

What was the main function or activity of the government organization that [fill ${\tt HESHE}$] worked for ?

@

Mark One Only PR85 PR781

Did [fill HESHE] work as a paid or unpaid employee for the family business or farm?

- (1) For pay
- (2) Unpaid worker

@

Enter Text PR86_PR790

What kind of business or industry was that?

READ IF NECESSARY:

What did they make or do where [fill HESHE] worked?

```
PR87 PR810
                 Mark One Only
Was it mainly?
     (1) Manufacturing
     (2) Wholesale Trade
     (3) Retail Trade
     (4) Service
     (5) Some other kind of business?
                                                                                    PR88 PR820
                 Enter Text
What kind of work [fill WASWERE] [fill HESHE] doing on that job,
that is, what was [fill HISHER] occupation?
For example: Bookkeeper, Plumber, Press operator
                                                                                    PR89 PR830
                  Enter Text
What were [fill HISHER] usual activities or responsibilities on that job?
For example: Keeping account books, repairing pipes, operating
printing presses
                                                                                    PR90_PR840
                 Mark One Only
Did [fill HISHER] employer operate in more than one location?
     (1)
         Yes
     (2) No
     @
                                                                                    PR91_PR850
                 Mark One Only
How many people were employed at the location where [fill HESHE] worked?
     (1) less than 10
     (2) 10 to 24
     (3) 25 to 49
     (4) 50 to 99
     (5) 100 to 249
     (6) 250 to 499
     (7) 500 to 999
     (8) 1,000 or more
                                                                                    PR92 PR860
                 Mark One Only
[if PR90_PR840 eq <1> and PR91_PR850 ne <8>]
About how many people were employed by that employer
at all locations?
[else]
[if PR90_PR840 eq <2> or PR90_PR840 eq <R> or PR90_PR840 eq <D>]
About how many people were employed by that employer?
[endif] [endif]
     (1) less than 10
     (2) 10 to 24
     (3) 25 to 49
     (4) 50 to 99
     (5) 100 to 249
     (6) 250 to 499
     (7) 500 to 999
     (8) 1,000 or more
```

Section: Retirement and Pension Plan Coverage

PR93_PR870 Mark One Only When [fill HESHE] worked for that employer, [fill WASWERE] [fill HESHE] covered under a union or employee association contract? (1) Yes (2) No @ PR94_PR880 **Enter Number** How many hours per week did [fill HESHE] usually work at that job? @ Hours **Enter Number** PR95_PR890 How many weeks during the year did [fill HESHE] usually work at that job? Include paid vacation and sick leave as work time. @ WEEKS PR96_PR900 Enter Number How many years did [fill HESHE] work at that job? @ Years PR97_PR910 **Enter Number**

In what year did [fill HESHE] leave that job?

@ Year

Multiple Entry PR98_PR920

When [fill HESHE] left that job, how much [fill WASWERE] [fill HESHE] earning before deductions for taxes, etc?

\$ @1

Per: (1) Week

- (2) Biweekly
- (3) Month
- (4) Year
- @2

Mark One Only PR99 PR940

[fill C_AREIS] [fill HESHE] now covered by a health plan provided through [fill HISHER] former employer?

- (1) Yes
- (2) No
- @

PR100_PR950 **Enter Text** $[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]$ The next questions are about the business from which [fill HESHE] received this pension or retirement income. [else] [if PR78_PR730 ne <>] The next questions are about the business from which [fill HESHE] received this most recent lump-sum payment. [else] [if PR79_PR740 eq <1>] The next questions are about the business from which [fill HESHE] retired. [else] [if PR80_PR750 eq <1>] The next questions are about the business which [fill HESHE] operated for the longest time. [endif] [endif] [endif] What kind of business was that? READ IF NECESSARY: What did the business do or make?

> PR101_PR951 Mark One Only

Was this business mainly...

- (1) Manufacturing
- (2) Wholesale Trade
- (3) Retail Trade
- (4) Service
- (5) Some other kind of business?

PR102 PR952 **Enter Text**

What kind of work [fill WASWERE] [fill HESHE] doing at that business, that is, what was [fill HISHER] occupation?

For example: Sales manager, dentist, farmer

PR103_PR953 **Enter Text**

What were [fill HISHER] usual activities or responsibilities at that business?

For example: Managing sales, repairing teeth, farming

PR104 PR954 Mark One Only

macro retire_tm in time.mac

What was the maximum number of people [fill HESHE] employed, including [fill SELF], who worked at this business at any one time?

- (1) less than 10 (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

Section: Retirement and Pension Plan Coverage

Mark One Only

Was this business incorporated?

(1) Yes
(2) No

©

Enter Number

PR105_PR955

PR106_PR956

many nours per week did [iiii heshe] usuarry work at that business:

@ Hours

Enter Number PR107_PR957

How many weeks during the year did [fill ${\tt HESHE}$] usually work at that business? Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number PR108_PR958

How many years did [fill HESHE] work at that business?

@ Years

Enter Number PR109_PR959

In what year did [fill HESHE] leave that business?

@ Year

Multiple Entry PR110_PR960

When [fill HESHE] left that business, how much [fill WASWERE] [fill HESHE] earning before deductions for taxes, etc?

\$ @1

Per: (1) Week

- (2) Biweekly
- (3) Month
- (4) Year

@2

Mark One Only PR111_PR970

[fill C_AREIS] [fill HESHE] now covered by a health plan provided through [fill HISHER] former business?

- (1) Yes
- (2) No

@

Mark One Only PR112 PR980

Compared to the standard of living [fill HESHE] had in [fill HISHER] early fifties, would [fill HESHE] say that [fill HISHER] currrent standard of living is...

READ ALL CATEGORIES.

- (1) Much better
- (2) Somewhat better
- (3) About the same
- (4) Somewhat worse
- (5) Much worse

```
AIRA002 BUS97A
                   Mark One Only
Earlier you told me [fill TEMPNAME] operated
(Read all business names during 2004). Did [fill TEMPNAME] own and
operate any other businesses during 2004?
   (1) Yes
(2) No
                                                                                  AIRA003 BUS97B
                   Mark One Only
Did [fill TEMPNAME] own and operate any businesses during 2004?
    (1) Yes
    (2) No
                                                                                 AIRA004 BUSNAM
                   Multiple Entry
What was the name of these businesses?
ENTER (N) AFTER LAST BUSINESS
Business 1: @1
Business 2: @2
Business 3: @3
Business 4: @4
Business 5:
             @5
                                                                                       AIRA BUSLIS
                   Mark One Only
Businesses listed in prior interviews
[roster begin bus]
BUSINESS NAME = [fill I_ALLBUS:1]
Businesses listed this interview
[if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
[if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
[if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
[if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif]
[if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]
 PRESS "ENTER" TO CONTINUE
     @
       [@] [nodata]
                                                                                AIRA006_TWOBUS
                   Multiple Entry
Businesses listed in prior interviews
[roster begin bus]
BUSINESS NAME = [fill I_ALLBUS:1]
[roster end bus]
Businesses listed this interview
[if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
[if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
[if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
[if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif] [if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]
```

Which two of the busineses yielded the largest net incomes

during 2004?

Business 1 @1 Business 2 @2

ENTER (N) IF NO SECOND BUSINESS ENTER (N) AFTER LAST BUSINESS

Mark One Only

AIRA007 BS1FRM

[if AIRA006_TWOBUS@1 valid]
BUSINESS1 = [fill AIRA006_TWOBUS@1]

What was the form of this (business/practice) – was it a sole proprietorship, a partnership, or a corporation?

- (1) Sole proprietorship
- (2) Partnership
- (3) Corporation

@

Mark One Only

AIRA008_BS1LOC

Was this business primarily located in [fill PTEMPNAME] own home or somewhere else?

- (1) Own home
- (2) Somewhere else

@

Mark One Only

AIRA010_BS10WN

Were any other members of this household part owners of this (business/practice)?

- (1) Yes
- (2) No

@

Multiple Entry

AIRA011 BS1WHO

Which other household members were owners?

ENTER LINE NUMBER OF PERSON

- @1 Person
- @2 Person

Mark One Only

AIRA013 BS1HH

Was this (business/practice) owned entirely by members of this household?

- (1) Yes
- (2) No

@

Enter Number

AIRA014 BS1PCT

What percentage of this (business/practice) was owned by members of this household?

@ Percent

Enter Number

AIRA015_BS1PTO

What percentage of this (business/practice) did [fill TEMPNAME] own in [fill HISHER] own name?

@ Percent

Enter Number

AIRA016_BS1PCT

What were the gross receipts of this (business/practice) in 2004?

@ Dollars

Section: Annual Income and Retirement Accounts

Enter Number AIRA017_BS1EXP

What were the total expenses of this (business/practice) in 2004?

@ Dollars

Multiple Entry AIRA021_BS1NET

What was [fill PTEMPNAME] net income from this (business/practice)
in 2004?
Please use records if they are available. (Obtain estimate if
necessary.)

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR

Mark One Only

AIRA024_BS10TH

Apart from the net income already reported for [fill TEMPNAME], did other household owners receive any net income in 2004 from this (business/practice)?

- (1) Yes
- (2) No

@2

Loss

@

Multiple Entry AIRA025_BS1AMT

What was the amount of net income that was received by [fill AIRA011_BS1WHO@1(X)]

ENTER (N) FOR NONE OR NO MORE

- @1 Profit
- OR
- @2 Loss

Multiple Entry AIRA026 BS1NTO

What was the amount of net income that was received by [Fill AIRA011_BS1WHO@2(X)]

ENTER (N)FOR NONE OR NO MORE

- @1 Profit
- OR
- @2 Loss

Mark One Only

AIRA027_BS2FRM

[if AIRA006_TWOBUS@2 valid]

BUSINESS2 = [fill AIRA006_TWOBUS@2]

What was the form of this (business/practice) - was it a sole proprietorship, a partnership, or a corporation?

- (1) Sole proprietorship
- (2) Partnership
- (3) Corporation

AIRA028 BS2LOC Mark One Only Was this business primarily located in [fill PTEMPNAME] own home or somewhere else? (1) Own home (2) Somewhere else @ AIRA030 BS20WN Mark One Only Were any other members of this household part owners of this (business/practice)? (1) Yes (2) No AIRA031 BS2WHO Multiple Entry Which other household members were owners? ENTER LINE NUMBER OF PERSON @1 Person @2 Person AIRA032_BS2HH Mark One Only Was this (business/practice) owned entirely by members of this household? (1) Yes (2) No AIRA033_BS2PCT **Enter Number** What percentage of this business/practice) was owned by members of this household? @ Percent AIRA034_BS2PTO **Enter Number** What percentage of this (business/practice) did [fill TEMPNAME] own in [fill HISHER] own name? @ Percent AIRA035 BS2PCT **Enter Number** What were the gross receipts of this (business/practice) in 2004? @ Dollars AIRA036_BS2EXP **Enter Number** What were the total expenses of this (business/practice) in 2004? Dollars

Survey: Items Booklet

Section: Annual Income and Retirement Accounts

Multiple Entry

What was [fill PTEMPNAME] net income from this (business/practice)

in 2004? Please use records if they are available. (Obtain estimate if necessary.)

ENTER (N) FOR NONE OR NO MORE

@1 Profit

OR

@2 Loss

Mark One Only

AIRA040 BS20TH

Apart from the net income already reported for [fill TEMPNAME], did other household owners receive any net income in 2004 from this (business/practice)?

- (1) Yes
- (2) No

@

Multiple Entry AIRA041_BS2AMT

What was the amount of net income that was received by [fill AIRA032_BSWHO@1(X)]

ENTER (N) FOR NONE OR NO MORE

@1 Profit

OR

@2 Loss

Multiple Entry AIRA042_BS2NTO

What was the amount of net income that was received by [fill AIRA032_BSWHO@2(X)]

ENTER (N) FOR NONE OR NO MORE

@1 Profit

OR

@2 Loss

Multiple Entry AIRA052 NETOBS

What was [fill TEMPNAME] net income from [fill HISHER] other businesses in 2004?

ENTER (N) FOR NONE OR NO MORE

@1 Profit

OR

@2 Loss

Mark One Only

AIRA053 IRA

[fill C_DODOES] [fill TEMPNAME] have an Individual Retirement Account, that is, an IRA, in [fill HISHER] own name?

- (1) Yes
- (2) No

AIRA054_IRACON Mark One Only Did [fill TEMPNAME] make any tax-deductible contributions to IRA accounts which applied to [fill HISHER] 2004 tax return? (1) Yes (2) No @ AIRA055 IRAAMT **Enter Number** How much were [fill PTEMPNAME] tax-deductible contributions to IRA accounts which applied to [fill HISHER] 2004 tax return? @ Amount AIRA056_IRAWD Mark One Only Did [fill TEMPNAME] make any withdrawals from [fill HISHER] IRA accounts during 2004? (1) Yes (2) No **AIRA057 IRAWAT** Enter Number How much did [fill TEMPNAME] withdraw from IRA accounts during 2004? @ Amount AIRA058_IRAERN **Enter Number** Including all IRA accounts in [fill PTEMPNAME] own name, how much did [fill HISHER] IRA accounts earn during 2004? ENTER (N) NONE @ Amount AIRA059 IRAAST Multiple Entry What types of assets did [fill TEMPNAME] have in [fill HISHER] MARK ALL THAT APPLY (1) Yes (2) No @1 Certificates of deposit or other savings certificates @2 Money market funds U.S. Government securities Municipal or corporate bonds @5 U.S. Savings Bonds Stocks or mutual fund shares @6 @7 Other assets AIRA060_KEO Mark One Only [fill C_DODOES] [fill TEMPNAME] have a Keogh account in [fill HISHER] own name? (1) Yes (2) No @

Survey: Items Booklet

Section: Annual Income and Retirement Accounts

Mark One Only

AIRA061 KEOCON

Did [fill TEMPNAME] make any tax-deductible contributions to a Keogh account which applied to [fill HISHER] 2004 tax return?

- (1) Yes
- (2) No

@

Enter Number

AIRA062 KEOAMT

How much were [fill PTEMPNAME] tax-deductible contributions to Keogh accounts which applied to [fill HISHER] 2004 tax return?

@ Amount

Mark One Only

AIRA063_KEOWD

 $\mbox{Did}\mbox{ [fill TEMPNAME]}$ make any withdrawals from [fill HISHER] Keogh accounts during 2004?

- (1) Yes
- (2) No

@

Enter Number

AIRA064 KEOWAT

How much did [fill TEMPNAME] withdraw from Keogh accounts during 2004?

@ Amount

Enter Number

Multiple Entry

AIRA065 KEOERN

Including all Keogh accounts in [fill PTEMPNAME] own name, how much did [fill PTEMPNAME] Keogh accounts earn during 2004?

ENTER(N)FOR NONE

@ Amount

AIRA066_KEOAST

What type of assets did [fill TEMPNAME] have in [fill HISHER] Keogh accounts?

MARK ALL THAT APPLY

- (1) Yes (2) No
 - @1 Certificates of deposit or other savings certificates
 - @2 Money market funds
 - @3 U.S. Government securities
 - @4 Municipal or corporate bonds
 - @5 U.S. Savings Bonds
 - @6 Stocks or mutual fund shares
 - @7 Other assets

Mark One Only AIRA068_401

During 2004, did [fill TEMPNAME] participate in an employee thrift plan such as a 401k plan? Such a plan allows employees to defer part of their salary and not have to pay taxes on their deferred salary until they retire or make a withdrawal.

- (1) Yes
- (2) No

Enter Number AIRA069_401CON

How much did [fill TEMPNAME] contribute to this plan during 2004?

ENTER (N) FOR NONE

@ Amount

Mark One Only

AIRA070_401WD

Did [fill TEMPNAME] make any withdrawals from [fill HISHER] 401k plan during 2004?

- (1) Yes
- (2) No

@

Enter Number AIRA072_401WAT

How much did [fill TEMPNAME] withdraw from 401k plan accounts during 2004?

@ Amount

Enter Number AIRA073 401ERN

Including all 401k plan accounts in [fill HISHER] own name, how much did [fill HISHER] 401k plan accounts earn during 2004?

ENTER (N) NONE

@ Amount

Multiple Entry AIRA074 401AST

What types of assets did [fill TEMPNAME] have in [fill HISHER]

401k plan accounts?

MARK ALL THAT APPLY

- (1) Yes (2) No
 - @1 Money market funds
 - 2 U.S. Government securities
 - @3 Municipal or corporate bonds
 - @4 Stocks or mutual fund shares
 - @5 Other assets

Mark One Only

ARRECUSE

DO NOT READ TO RESPONDENT

Did respondent use any records when reporting the amount of annual income received or income received from retirement accounts?

- (1) Yes
- (2) No

Survey: Items Booklet
Section: Taxes

TAX002 FILE Mark One Only Did [fill TEMPNAME] file a Federal income tax return for 2005? (1) Yes (2) No @ TAX003_COPY Mark One Only Do you have a copy of [fill PTEMPNAME] tax form or a worksheet that you could refer to for the next few questions? (1) Yes (2) No @ TAX004_STATUS Mark One Only What was [fill PTEMPNAME] filing status on [fill HISHER] 2005 Federal tax return? LINES 1-5 ON FORMS 1040 or 1040A (1) Single taxpayer (2) Married, filing joint return (3) Married, filing separately (4) Unmarried head of household (5) Qualifying widow(er) with dependent child TAX005_EXEMP **Enter Number** What were the total number of exemptions claimed on [fill PTEMPNAME] return? LINE 6d ON FORMS 1040 OR 1040A Enter number of exemptions:@ **TAX007 EXEMHH** Multiple Entry Besides [fill TEMPNAME], which persons in this household did [fill TEMPNAME] claim as an exemption? ENTER LINE NUMBER OF PERSON COVERED ENTER (A) FOR ALL PERSONS COVERED ENTER (N) FOR NONE OR NO MORE @1 @2 @3 @4 @5 @6 @7 @8 @9 @10 @11 @12 @13 @14 @15 @16 @17 @18 @19 @20 @21 @22 @23 @24 @25 @26 @27 @28 @29 @30 **TAX008 EXMOUT** Mark One Only Did [fill TEMPNAME] claim exemptions for any persons who lived outside of [fill HISHER] home for the entire year? (1) Yes (2) No @ TAX008B EXEMNO **Enter Number** How many persons who lived outside of the household did

[fill TEMPNAME] claim exemptions for the entire year?

Enter number of persons exemptions outside of the household:@

Items Booklet Survey: Section: Taxes

TAX009_RELATE Multiple Entry What was the relationship of this person/these persons to [fill TEMPNAME]? ENTER (N) FOR NONE OR NO MORE (1) Parent (2) Child (3) Brother/Sister (4) Other @7 @1 @2 @3 @4 @5 @6 @8 @9 @10 TAX011_FORM Mark One Only Did [fill TEMPNAME] file form 1040, the long form or did [fill TEMPNAME] file one of the short forms, 1040A or 1040EZ? (1) Form 1040 (2) Form 1040A (3) Form 1040EZ TAX012_SCHEDA Mark One Only Did [fill TEMPNAME] file a Schedule A, Itemized Deduction, with [fill HISHER] 2005 tax return? (1) Yes (2) No @ TAX013_SCHEDD Mark One Only Did [fill TEMPNAME] file Schedule D, Capital Gains and Losses, with [fill HISHER] 2005 tax return? (2) No @ TAX017_ITEMIZ **Enter Number** [if TAX004_STATUS eq <2>] How much were [fill HISHER] (and [fill HISHER] spouse's) itemized deductions for 2005? [else] How much were [fill HISHER] itemized deductions for 2005? [endif] LINE 38 OF FORM 1040 Amount: \$@ TAX018_DEPEND Mark One Only Did [fill TEMPNAME] claim a child and dependent care expense credit in 2005? LINE 46 ON FORM 1040; LINE 29 ON FORM 1040A (1) Yes (2) No

Survey: Items Booklet

Section: Taxes

TAX019 DEPAMT Enter Number

What was that amount?

Amount:\$@

TAX19B DPHH Multiple Entry

For which persons did you claim this exemption? ENTER LINE NUMBER OF PERSON COVERED ENTER (A) FOR ALL PERSONS COVERED

ENTER (N) FOR NONE OR NO MORE @2 @3 @4 @5 @6 @8 @9 @10 @11 @12 @13 @14 @15 @16 @17 @18 @19 @20 @24 @25 @26 @27 @28 @29 @30

> **TAX020 CREDIT** Mark One Only

Did [fill TEMPNAME] claim a credit for the elderly or the disabled in 2005?

LINE 47 ON FORM 1040; LINE 30 ON FORM 1040A

- (2) No

TAX021_CRDAMT **Enter Number**

What was that amount?

Amount:\$@

TAX023 GAINS Enter Number

[if TAX004_STATUS eq <2>] How much were [fill PTEMPNAME] (and [fill PTEMPNAME] spouse's) capital gains or losses from the sale or exchange of personal assets for 2005?(Line 13 on Form 1040) [else] How much were [fill PTEMPNAME] capital gains or losses from the sale or exchange of personal assets for 2005?(Line 13 on Form 1040) [endif] ENTER LOSS AS A NEGATIVE AMOUNT LINE 13 ON FORM 1040

ENTER (N) FOR NONE

TAX024 AGI **Enter Number**

[if TAX004_STATUS eq <2>] What was [fill PTEMPNAME] (and [fill PTEMPNAME] spouse's) adjusted gross income in 2005? [else] What was [fill PTEMPNAME] adjusted gross income in 2005? [endif] ENTER LOSS AS A NEGATIVE AMOUNT LINE 35 ON FORM 1040; LINE 21 ON FORM 1040A; LINE 4 ON FORM 1040EZ ENTER (N) FOR NONE

Items Booklet Survey: Section: Taxes

TAX025_TXLIAB **Enter Number** [if TAX004_STATUS eq <2>] What was [fill PTEMPNAME] (and [fill PTEMPNAME] spouse's) net tax liability in 2005? [else] What was [fill PTEMPNAME] net tax liability in 2005? [endif] LINE 61 ON FORM 1040; LINE 38 ON FORM 1040A; LINE 10 ON FORM 1040EZ ENTER (N) FOR NONE Amount: \$@ TAX027 EARN Mark One Only Did [fill TEMPNAME] claim an earned income credit on [fill HISHER] Federal income tax return? (1) Yes (2) No @ **TAX028 ERNAMT** Enter Number What was the amount of earned income credit claimed? LINE 64 ON FORM 1040; LINE 41 ON FORM 1040A; LINE 8 ON FORM 1040EZ Amount:\$@ TX28B_ERNHH Multiple Entry For which persons did you claim this exemption? ENTER LINE NUMBER OF PERSON COVERED ENTER (A) FOR ALL PERSONS COVERED ENTER (N) FOR NONE OR NO MORE @3 @4 @5 @6 @8 @10 @11 @12 @13 @14 @15 @16 @17 @18 @19 @20 @21 @22 @23 @24 @25 @26 @27 @28 @29 @30 TAX032 PROPTX Mark One Only Did [fill TEMPNAME] pay any property taxes on [fill PTEMPNAME] residence(s) in 2005? (1) Yes (2) No TAX033 PROPAY Mark One Only Did [fill TEMPNAME] pay these jointly with someone else living (1) Yes (2) No

Survey: Items Booklet Section: Taxes

Who made these joint payments with [fill TEMPNAME]?

ENTER LINE NUMBER OF PERSON WHO MADE JOINT PAYMENTS

ENTER (A) FOR ALL PERSONS COVERED ENTER (N) FOR NONE OR NO MORE

 @1
 @2
 @3
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 @30

Enter Number TAX035_PROAMT

What was the property tax bill for [fill PTEMPNAME] residence(s) in 2005?

LINE 6 OF SCHEDULE A, PROPERTY TAX BILLS; OR OTHER DOCUMENTS SUCH AS ESCROW SUMMARIES FROM THE MORTGAGE COMPANY

Amount:\$@

Items Booklet Index for

Alphabetical index for the Items Booklet

AIRA_BUSLIS AIRA_DUSLIS AIRAO02_BUS97A AIRAO03_BUS97B 32 AIRAO07_401WDT AIRAO04_BUSNAM AIRAO04_BUSNAM AIRAO06_HUSNAM AIRAO06_HVOBUS AIRAO07_S51FRM AIRAO06_TWOBUS AIRAO07_BS1FRM AIRAO08_BS1LOC 33 AIRAO07_BS1FRM AIRAO08_BS1LOC 33 AIRAO10_S51OWN AIRAO11_BS1WHO 33 AIRAO11_BS1WHO 33 AIRAO14_BS1PCT 33 AIRAO15_BS1PTO 33 AIRAO15_BS1PTO 33 AIRAO15_BS1PTO 33 AIRAO16_BS1PCT 33 AIRAO16_BS1PCT 34 AIRAO17_BS1EXP AIRAO16_BS1PCT 34 AIRAO17_BS1EXP AIRAO16_BS1PCT 35 AIRAO16_BS1PCT 36 AIRAO16_BS1PCT 37 AIRAO16_BS1PCT AIRAO16_BS2PCT AIRAO16_BS2P	Object Name	Page	Object Name	Page
AIRACUS_BUSIS AIRACUS_BUS97A AIRACUS_BUS97B AIRACUS_BUS97B AIRACUS_AUTENN AIRACUS_BUS97B AIRACUS_AUTENN AIRACUS_BUSNAM AIRACUS_AUTENN AIRACUS_BUSNAM			AIRA068_401	38
AIRA002_BUS97A AIRA003_BUS97B 32 AIRA003_BUS97B 32 AIRA003_BUS97B 32 AIRA007_401WAT AIRA006_BTWOBUS 32 AIRA007_401AST AIRA007_SS1FRM 33 AIRA007_BS1FRM 33 AIRA008_BS1LOC 33 AIRA011_BS1WHO 33 AIRA011_BS1WHO 33 AIRA011_BS1WHO 33 AIRA014_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 34 AIRA016_BS1PCT 34 AIRA017_BS1EXP 34 HH06A AIRA017_BS1EXP 34 HH06B AIRA017_BS1EXP 34 HH06B AIRA024_BS1DTH 34 AIRA025_BS1AMT 34 HH07A1 AIRA026_BS1NTO 34 AIRA027_BS2FRM 34 HH07B1 AIRA028_BS2DCC 35 HH08B AIRA030_BS2OWN 35 HH08B AIRA031_BS2WHO 35 HH08B AIRA031_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA038_BS2PCT 35 HH10A AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 HH10B AIRA038_BS2PCT 36 HH12B1 AIRA038_BS2NET 46 HH12B1 AIRA038_BS2NET 46 HH12B1 AIRA044_BS2OTH 36 HH12B1 AIRA045_BS2NTO 36 HH12B1 AIRA046_BS2NTO 36 HH14B1 AIRA046_BS2NTO 37 HH18B1 AIRA046_BRAWT 37 HH18B1 AIRA055_IRAWT 37 HH18B1 AIRA065_IRAWD 37 HH18B1 AIRA065_IRAWD 37 HH18B1 AIRA066_KEOON 38 HH19A1 AIRA066_KEOOMT AIRA066_KEOOMT 38 AIRA066_KEOOMT 38 AIRA066_KEOOMT 38 AIRA066_KEOOMT 38 AIRA066_KEOOMT 38 AIRA066_KEOOMT 38 AIRA066_KEOOMT AIRA0		20	AIRA069_401CON	39
AIRA003_BUS97B AIRA004_BUSNAM 32 AIRA073_401ERN AIRA073_401ERN AIRA073_401ERN AIRA074_401AST AIRA074_401AST AIRA005_BS1FRM 33 AIRA008_BS1LOC 33 AIRA010_BS10WN 33 HH001A AIRA011_BS1WHO 33 AIRA013_BS1HH 33 AIRA014_BS1PCT 33 AIRA015_BS1PTO 33 AIRA016_BS1PCT 33 AIRA017_BS1EXP 34 HH06A AIRA017_BS1EXP 34 HH06B AIRA012_BS1NET 34 AIRA025_BS1NET 34 AIRA025_BS1NTO 34 AIRA025_BS1NTO 34 AIRA025_BS2FRM 34 HH07B AIRA028_BS2DWN 35 HH08B AIRA030_BS2WN 35 HH08B AIRA031_BS2WHO 36 AIRA034_BS2PCT 37 AIRA034_BS2PCT 38 AIRA034_BS2PCT 39 AIRA035_BS2PCT 30 AIRA038_BS2PCT 31 AIRA038_BS2PCT 32 AIRA038_BS2NET 33 AIRA038_BS2PCT 34 AIRA038_BS2NET 35 AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 AIRA038_BS2PCT 38 AIRA038_BS2PCT 39 AIRA038_BS2PCT 39 AIRA038_BS2PCT 30 AIRA038_BS2PCT 31 AIRA038_BS2PCT 32 AIRA038_BS2PCT 33 AIRA038_BS2PCT 34 AIRA038_BS2PCT 35 AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 AIRA038_BS2PCT 38 AIRA038_BS2PCT 39 AIRA038_BS2PCT 39 AIRA038_BS2PCT 30 AIRA038_BS2PCT 31 AIRA038_BS2PCT 32 AIRA038_BS2PCT 33 AIRA038_BS2PCT 34 AIRA038_BS2PCT 35 AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 AIRA038_BS2PCT 38 AIRA038_BS2PCT 39 AIRA038_BS2PCT 39 AIRA038_BS2PCT 31 AIRA038_BS2PCT 31 AIRA038_BS2PCT 32 AIRA038_BS2PCT 33 AIRA038_BS2PCT 34 AIRA038_BS2PCT 35 AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 AIRA038_BS2PCT 38 AIRA038_BS2PCT 39 AIRA038_BS2PCT 39 AIRA038_BS2PCT 31 AIRA038_BS2PCT 31 AIRA038_BS2PCT 32 AIRA038_BS2PCT 33 AIRA038_BS2PCT 34 AIRA038_BS2PCT 35 AIRA038_BS2PCT 36 AIRA038_BS2PCT 37 AIRA038_BS2PCT 38 AIRA038_BS2PCT 38 AIRA038_BS2PCT 39 AIRA048_BS2PCT 30 AIRA048_BS2PCT 30 AIRA048_BS2PCT 30 AIRA048_B			AIRA070_401WD	39
AIRA004_BUSNAM AIRA006_TWOBUS 32 AIRA077_401ERN AIRA007_BS1FRM 33 AIRA007_BS1FRM 33 AIRA010_BS1COC 33 AIRA011_BS1WHO 33 AIRA011_BS1WHO 33 AIRA011_BS1WHO 33 AIRA011_BS1WHO 33 AIRA011_BS1PCT 33 AIRA015_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 33 AIRA016_BS1PCT 34 AIRA016_BS1PCT 34 AIRA016_BS1PCT 34 AIRA016_BS1PCT 35 AIRA017_BS1EXP 36 AIRA018_BS1DTH 37 AIRA018_BS1DTH 38 AIRA018_BS1DTH 39 AIRA018_BS1DTH 30 AIRA018_BS1DTH 31 AIRA018_BS1DTH 32 AIRA018_BS1DTH 33 AIRA018_BS1DTH 34 AIRA018_BS1DTH 35 AIRA018_BS1DTH 36 AIRA018_BS1DTH 37 AIRA018_BS1DTH 38 AIRA018_BS1DTH 39 AIRA018_BS1DTH AIRA018_BS2NTH AIRA018_BS1DTH AIRA018_BS1DTH AIRA018_BS1DTH AIRA018_BS2NTH AIRA018_BS1DTH AIR			AIRA072_401WAT	39
AIRA006_TWOBUS AIRA007_BS1FRM AIRA008_BS1LOC AIRA010_BS1OWN AIRA011_BS1WHO AIRA013_BS1WHO AIRA013_BS1HH AIRA014_BS1PCT AIRA015_BS1PCT AIRA015_BS1PCT AIRA015_BS1PCT AIRA016_BS1PCT AIRA017_BS1EXP AIRA018_BS1DTO AIRA025_BS1NTO AIRA026_BS1NTO AIRA027_BS2FRM AIRA028_BS2HD AIRA031_BS2WHO AIRA031_BS2WHO AIRA031_BS2WHO AIRA031_BS2WHO AIRA032_BS2HH AIRA034_BS2PCT AIRA035_BS2EXP AIRA035_BS2EXP AIRA035_BS2EXP AIRA036_BS2EXP AIRA036_BS2EXP AIRA036_BS2EXP AIRA036_BS2EXP AIRA0404_BS2DTD AIRA041_BS2NTO			AIRA073_401ERN	39
ARRECUSE AIRA007_BS1FRM AIRA010_BS1COC 33 AIRA010_BS1COC 33 AIRA011_BS1WHO 33 HH012 AIRA011_BS1WHO 33 HH02 AIRA013_BS1HH 33 HH03 AIRA014_BS1PCT 33 HH04 AIRA015_BS1PCT 33 AIRA015_BS1PCT 33 AIRA016_BS1PCT 33 AIRA017_BS1EXP 34 HH06A AIRA017_BS1EXP 34 HH06B AIRA021_BS1NET 34 HH07A AIRA025_BS1MT 34 HH07A AIRA026_BS1NTO 34 HH07B AIRA027_BS2FRM 34 HH07B AIRA028_BS2LOC 35 HH08A AIRA028_BS2LOC 35 HH08B AIRA030_BS2CWN 35 HH09B AIRA030_BS2CWN 36 AIRA031_BS2WHO 37 AIRA032_BS2HH 36 AIRA033_BS2PCT 37 AIRA034_BS2PCT 38 AIRA035_BS2EXP 39 AIRA036_BS2EXP 30 AIRA036_BS2EXP 31 AIRA036_BS2EXP 32 AIRA036_BS2EXP 33 AIRA036_BS2EXP 34 HH12B AIRA036_BS2EXP 35 HH112B AIRA036_BS2EXP 36 HH12B AIRA036_BS2EXP 37 HH12B AIRA041_BS2MTO 36 HH12B AIRA041_BS2MTO 36 HH13 AIRA042_BS2NTO 36 HH12B AIRA045_IRACNO 37 HH16B AIRA055_IRAMT 37 HH16A AIRA055_IRAMT 37 HH18B AIRA056_IRAWD 37 AIRA056_IRAWD 37 HH18B AIRA069_IRAST 37 HH18B AIRA069_IRAST 37 HH18B AIRA069_IRAST 37 HH18B AIRA069_IRAST 37 HH18B AIRA060_KEO 37 HH18B AIRA061_KEOCON 38 HH19A AIRA062_KEOMT 38 HH19A AIRA062_KEOMT 38 HH19B			AIRA074_401AST	39
AIRA008_BS1LOC AIRA010_BS10WN AIRA011_BS1WHO AIRA011_BS1WHO AIRA013_BS1HH AIRA013_BS1HH AIRA014_BS1PCT AIRA015_BS1PTO AIRA015_BS1PTO AIRA016_BS1PCT AIRA016_BS1PCT AIRA017_BS1EXP AIRA017_BS1EXP AIRA017_BS1EXP AIRA017_BS1EXP AIRA017_BS1EXP AIRA024_BS1NET AIRA024_BS1NET AIRA025_BS1AMT AIRA025_BS1AMT AIRA025_BS1AMT AIRA025_BS1AMT AIRA026_BS1NTO AIRA026_BS1NTO AIRA026_BS1NTO AIRA028_BS2COC AIRA030_BS2CWN AIRA031_BS2WHO AIRA031_BS2WHO AIRA032_BS2HH AIRA034_BS2PCT AIRA034_BS2PCT AIRA034_BS2PCT AIRA035_BS2PCT AIRA036_BS2EXP AIRA036_BRASANT AIRA03			ARRECUSE	39
AIRA010_BS10WN AIRA011_BS1WHO AIRA011_BS1WHO AIRA013_BS1HH 33	_			
AIRA011_BS1WHO AIRA013_BS1HH AIRA014_BS1PCT AIRA016_BS1PCT AIRA016_BS1PCT AIRA016_BS1PCT AIRA016_BS1PCT AIRA016_BS1PCT AIRA016_BS1PCT AIRA017_BS1EXP AIRA017_BS1EXP AIRA017_BS1EXP AIRA021_BS1NET AIRA026_BS1NET AIRA026_BS1NET AIRA026_BS1NTO AIRA026_BS1NTO AIRA026_BS1NTO AIRA027_BS2FRM AIRA027_BS2FRM AIRA027_BS2FRM AIRA028_BS2LOC AIRA028_BS2LOC AIRA031_BS2WHO AIRA031_BS2WHO AIRA031_BS2WHO AIRA031_BS2WHO AIRA032_BS2HH AIRA031_BS2PCT AIRA033_BS2PCT AIRA033_BS2PCT AIRA034_BS2PCT AIRA036_BS2EXP AIRA040_BS2OTH AIRA040_BS2OTH AIRA041_BS2AMT AIRA046_BS2NTO AIRA056_IRAWD AIRA056_IRAWD AIRA056_IRAWD AIRA056_IRAWD AIRA056_IRAWD AIRA056_IRAWAT AIRA056_IRAWAT AIRA056_IRAWAT AIRA056_IRAWAT AIRA066_KEO AIRA066_KE				
AIRA013_BS1HH AIRA014_BS1PCT 33				1
AIRA014_BS1PCT				1
AIRA015_BS1PTO AIRA016_BS1PCT 33				1
AIRA016_BS1PCT AIRA017_BS1EXP 34	AIRA014_BS1PCT	33	HH04	1
AIRA017_BS1EXP 34 HH06A AIRA021_BS1NET 34 HH06B AIRA024_BS1OTH 34 HH07A AIRA025_BS1AMT 34 HH07A1 AIRA025_BS1NTO 34 HH07B1 AIRA027_BS2FRM 34 HH07B1 AIRA028_BS2LOC 35 HH08A AIRA030_BS2OWN 35 HH08B AIRA031_BS2WHO 35 HH09A AIRA031_BS2WHO 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA040_BS2OTH 36 HH13 AIRA041_BS2AMT 36 HH13 AIRA041_BS2AMT 36 HH13 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH15 AIRA041_BS2AMT 36 HH15 AIRA041_BS2AMT 36 HH15 AIRA041_BS2AMT 36 HH16A AIRA051_IRACON 37 HH16B AIRA051_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA056_IRAWD 37 HH17B AIRA056_IRAWD 37 HH18B AIRA057_IRAWAT 37 HH18B AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA061_KEOCON 38 HH19A AIRA063_KEOWD 38 HH19A	AIRA015_BS1PTO	33	HH05A	2
AIRA021_BS1NET 34 HH06B AIRA024_BS1OTH 34 HH07A AIRA025_BS1AMT 34 HH07A1 AIRA026_BS1NTO 34 HH07B AIRA027_BS2FRM 34 HH07B1 AIRA028_BS2LOC 35 HH08A AIRA030_BS2OWN 35 HH08B AIRA031_BS2WHO 35 HH09A AIRA031_BS2WHO 35 HH09B AIRA033_BS2HH 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 35 HH12B AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA045_BS2NTO 36 HH14 AIRA045_BS2NTO 36 HH14 AIRA041_BS2AMT 36 HH13 AIRA041_BS2AMT 37 HH16B AIRA052_NETOBS 36 HH15 AIRA055_IRAAMT 37 HH16B AIRA055_IRAAMT 37 HH17B AIRA056_IRAWD 37 HH18B AIRA056_IRAWD 37 HH18B AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA061_KEOCON 38 HH18B AIRA061_KEOCON 38 HH19A AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A	AIRA016_BS1PCT	33	HH05B	3
AIRA024_BS10TH 34 HH07A AIRA025_BS1AMT 34 HH07A1 AIRA026_BS1NTO 34 HH07B AIRA027_BS2FRM 34 HH07B1 AIRA028_BS2LOC 35 HH08A AIRA030_BS2OWN 35 HH08B AIRA031_BS2WHO 35 HH09A AIRA031_BS2WHO 35 HH09A AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA035_BS2PCT 35 HH10B AIRA036_BS2EXP 35 HH12A AIRA036_BS2EXP 36 HH12B AIRA038_BS2NET 36 HH12B AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH14 AIRA045_IS2NETOBS 36 HH15 AIRA053_IRA 36 HH15 AIRA055_IRAON 37 HH16B AIRA055_IRAON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA056_IRAWD 37 HH18A AIRA056_IRAWD 37 HH18A AIRA059_IRAAST 37 HH18A AIRA059_IRAAST 37 HH18A AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B AIRA061_KEOCON 38 HH19A AIRA062_KEOOMT 38 HH19A AIRA062_KEOOMT 38 HH19A	AIRA017_BS1EXP	34	HH06A	2
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AIRA028_BS2LOC 35 HH08A AIRA030_BS2OWN 35 HH09B AIRA031_BS2WHO 35 HH09B AIRA032_BS2HH 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA035_BS2PCT 35 HH12A AIRA036_BS2EXP 35 HH12A AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA040_BS2OTH 36 HH13 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH15 AIRA042_BS2NTO 37 HH16B AIRA051_IRA 36 HH15 AIRA051_IRA 36 HH15 AIRA051_IRA 37 HH16A AIRA051_IRAAMT 37 HH17B AIRA055_IRAAMT 37 HH17B AIRA056_IRAWD 37 HH18A AIRA059_IRAAST 37 HH18A AIRA059_IRAAST 37 HH18B AIRA050_KEO 37 HH18B AIRA060_KEO 37 HH18B AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A	AIRA026_BS1NTO	34	HH07B	4
AIRA030_BS2OWN 35 HH08B AIRA031_BS2WHO 35 HH09A AIRA032_BS2HH 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA035_BS2PCT 35 HH12A AIRA036_BS2EXP 35 HH12A1 AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA052_NETOBS 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA056_IRAWD 37 HH18A AIRA059_IRAAST 37 HH18A AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA050_KEO 37 HH18B AIRA060_KEO 37 HH18B AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A AIRA063_KEOWD 38 HH19A	AIRA027_BS2FRM	34	HH07B1	4
AIRA031_BS2WHO 35 HH09A AIRA032_BS2HH 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA035_BS2PCT 35 HH12A AIRA036_BS2EXP 35 HH12A1 AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH15 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA059_IRAAST 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	AIRA028_BS2LOC	35	HH08A	2
AIRA032_BS2HH 35 HH09B AIRA033_BS2PCT 35 HH10A AIRA034_BS2PTO 35 HH10B AIRA035_BS2PCT 35 HH12A AIRA036_BS2EXP 35 HH12A1 AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA042_BS2NTO 36 HH15 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA056_IRAWD 37 HH18A AIRA059_IRAAST 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	AIRA030_BS2OWN	35	HH08B	4
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AIRA036_BS2EXP 35 HH12A1 AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA055_IRAWAT 37 HH17B AIRA056_IRAWAT 37 HH18A AIRA057_IRAWAT 37 HH18A AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA058_IRAERN 37 HH18B1 AIRA060_KEO 37 HH18B AIRA060_KEO 37 HH18B1 AIRA060_KEO 38 HH19A AIRA062_KEOAMT 38 HH19A AIRA063_KEOWD 38 HH19B	IRA034_BS2PTO	35	HH10B	4
AIRA036_BS2EXP 35 HH12A1 AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA055_IRAAMT 37 HH17B AIRA056_IRAWD 37 HH18A AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A AIRA058_IRAERN 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B AIRA061_KEOCON 38 HH19A AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	IRA035_BS2PCT	35	HH12A	3
AIRA038_BS2NET 36 HH12B AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA069_IRACON 37 HH18B AIRA060_KEO 37 HH18B1 AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	IRA036_BS2EXP	35	HH12A1	3
AIRA040_BS2OTH 36 HH12B1 AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A AIRA058_IRAERN 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA063_KEOWD 38 HH19A1 AIRA063_KEOWD 38 HH19B1		36	HH12B	4
AIRA041_BS2AMT 36 HH13 AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A AIRA058_IRAERN 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA061_KEOCON 38 HH19A AIRA063_KEOWD 38 HH19A1 AIRA063_KEOWD 38 HH19B		36	HH12B1	5
AIRA042_BS2NTO 36 HH14 AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA058_IRAERN 37 HH18B1 AIRA059_IRAAST 37 HH18B1 AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				5
AIRA052_NETOBS 36 HH15 AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA061_KEOCOM 38 HH19A1 AIRA063_KEOWD 38 HH19A1 AIRA063_KEOWD 38 HH19B				5
AIRA053_IRA 36 HH16A AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19A1 AIRA063_KEOWD 38 HH19B				5
AIRA054_IRACON 37 HH16B AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B1				5
AIRA055_IRAAMT 37 HH17A AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				7
AIRA056_IRAWD 37 HH17B AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	_			6
AIRA057_IRAWAT 37 HH18A AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B	_			7
AIRA058_IRAERN 37 HH18A1 AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				6
AIRA059_IRAAST 37 HH18B AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				6
AIRA060_KEO 37 HH18B1 AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				7
AIRA061_KEOCON 38 HH19A AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				8
AIRA062_KEOAMT 38 HH19A1 AIRA063_KEOWD 38 HH19B				6
AIRA063_KEOWD 38 HH19B				6
				8
VIVA004-VFOAN 20 UU1301				
AIDAGE KEOEDNI 30 LUIGGA				8
AIRA065_KEOERN 38 HH20A AIRA066_KEOAST 38 HH20B				6 8

#Error Date Printed: 11/2/2005

Object Name	Page	Object Name	Page
HH21A	6	PR28_ERR	16
HH21A1	7	PR28_PR280	16
HH21B	8	PR28A_PR281	16
HH21B1	8	PR29_PR290	16
HH22A	7	PR3_PR110	10
HH22B	8	PR30_PR300	16
HH24A	7	PR31_PR310	17
HH24A1	7	PR32_PR320	17
HH24B	9	PR33_PR330	17
HH24B1	9	PR34_PR340	17
	-	PR35_PR350	17
P		PR36_ERR	18
PR1_PR090	10	PR36_PR360	18
PR10_PR180	12	PR37_PR370	18
PR100_PR950	29	PR38_PR380	18
PR101_PR951	29	PR39_PR390	18
PR102_PR952	29	PR4_PR120	10
PR103_PR953	29	PR40_PR391	18
PR104_PR954	29	PR41_PR392	19
PR105_PR955	30	PR42_PR393	19
PR106_PR956	30	PR43_PR394	19
PR107_PR957	30		19
PR108_PR958	30	PR44_PR400	19
PR109_PR959	30	PR45_PR410	
PR11_PR190	12	PR46_PR420	19
PR110_PR960	30	PR47_PR430	20
PR111_PR970	30	PR47A_PR431	20
PR112_PR980	30	PR48_PR440	20
_ PR12_PR200	12	PR49_PR450	20
PR13_PR210	12	PR4A_PR121	10
PR14_PR220	12	PR5_PR130	10
PR14A_PR220A	13	PR50_PR460	20
PR14B_PR220B	13	PR51_PR461	20
PR14C_PR220C	13	PR52_PR470	20
PR15_PR230	13	PR52A_PR471	21
PR16_PR231	13	PR53_PR480	21
PR17_PR232	13	PR54_PR490	21
PR18_PR233	13	PR55_PR500	21
PR19_PR234	14	PR56_PR510	21
PR20_PR240	14	PR57_PR520	22
PR20A_PR240A	14	PR58_PR521	22
PR20B_PR240B	14	PR59_PR530	22
	14	PR6_PR140	11
PR20C_PR240C		PR60_PR540	22
PR21_PR250	14 15	PR61_PR550	22
PR22_PR251	15 15	PR62_PR560	22
PR23_PR252	15	PR63_PR570	23
PR24_PR253	15	PR64_PR571	23
PR25_PR254	15	PR65_ERR	23
PR26_PR260	15	PR65_PR580	23
PR27_PR270	15	PR66_ERR	23

Date Printed: 11/2/2005 #Error

Tiritea. 11/2/2005			Ħ	
Object Name	Page	Object Name	Page	
PR66_PR600	23	TAX013_SCHEDD	41	
PR67_PR610	24	TAX017_ITEMIZ	41	
PR68_PR620	24	TAX018_DEPEND	41	
PR69_PR640	24	TAX019_DEPAMT	42	
PR7_PR150	11	TAX020_CREDIT	42	
PR70_PR650	24	TAX021_CRDAMT	42	
PR71_PR660	24	TAX023_GAINS	42	
PR72_PR670	24	TAX024_AGI	42	
PR73_PR680	24	TAX025_TXLIAB	43	
PR74_PR690	25	TAX027_EARN	43	
PR75_PR700	25	TAX028_ERNAMT	43	
PR76_PR710	25	TAX032_PROPTX	43	
PR77_PR720	25	TAX033_PROPAY	43	
PR78_PR730	25	TAX035_PROAMT	44	
PR79_PR740	25	TAX19B_DPHH	42	
PR8_PR160	11	TX28B_ERNHH	43	
PR80_PR750	25	TX34_PROWHO	44	
PR81_PR751	25			
PR82_PR760	26			
PR83_PR770	26			
PR84_PR780	26			
PR85_PR781	26			
PR86_PR790	26			
PR87_PR810	27			
PR88_PR820	27			
PR89_PR830	27			
PR9_ERR	11			
PR9_PR170	11			
PR90_PR840	27			
PR91_PR850	27			
PR92_PR860	27			
PR93_PR870	28			
PR94_PR880	28			
PR95_PR890	28			
PR96_PR900	28			
PR97_PR910	28			
PR98_PR920	28			
PR99_PR940	28			
T				
TAX002_FILE	40			
TAX003_COPY	40			
TAX004_STATUS	40			
TAX005_EXEMP	40			
TAX007_EXEMHH	40			
TAX008_EXMOUT	40			
TAX008B_EXEMNO	40			
TAX009_RELATE	41			
TAX003_REEATE	41			